



Dynamics of *bla*_{KPC-2} Dissemination from Non-CG258 *Klebsiella pneumoniae* to Other *Enterobacterales* via IncN Plasmids in an Area of High Endemicity

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ABSTRACT Carbapenem-resistant *Enterobacterales* (CRE) pose a significant threat to global public health. The most important mechanism for carbapenem resistance is the production of carbapenemases. *Klebsiella pneumoniae* carbapenemase (KPC) represents one of the main carbapenemases worldwide. Complex mechanisms of *bla*_{KPC} dissemination have been reported in Colombia, a country with a high endemicity of carbapenem resistance. Here, we characterized the dynamics of dissemination of *bla*_{KPC} gene among CRE infecting and colonizing patients in three hospitals localized in a highly endemic area of Colombia (2013 and 2015). We identified the genomic characteristics of KPC-producing *Enterobacterales* recovered from patients infected/colonized and reconstructed the dynamics of dissemination of *bla*_{KPC-2} using both short and long read sequencing. We found that spread of *bla*_{KPC-2} among *Enterobacterales* in the participating hospitals was due to intra- and interspecies horizontal gene transfer (HGT) mediated by promiscuous plasmids associated with transposable elements that was originated from a multispecies outbreak of KPC-producing *Enterobacterales* in a neonatal intensive care unit. The plasmids were detected in isolates recovered in other units within the same hospital and nearby hospitals. The gene “epidemic” was driven by IncN-pST15-type plasmids carrying a novel Tn4401b structure and non-Tn4401 elements (NTE_{KPC}) in *Klebsiella* spp., *Escherichia coli*, *Enterobacter* spp., and *Citrobacter* spp. Of note, *mcr-9* was found to coexist with *bla*_{KPC-2} in species of the *Enterobacter cloacae* complex. Our findings suggest that the main mechanism for dissemination of *bla*_{KPC-2} is HGT mediated by highly transferable plasmids among species of *Enterobacterales* in infected/colonized patients, presenting a major challenge for public health interventions in developing countries such as Colombia.

KEYWORDS *Klebsiella pneumoniae* non-CG258, *Enterobacterales*, *bla*_{KPC-2}, Colombia, whole-genome sequencing, IncN plasmid, outbreak

Carbapenem-resistant *Enterobacterales* (CRE) currently pose a significant threat to global public health because the resulting infections are associated with high morbidity and mortality and very limited treatment options (1). In addition, the

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widespread transmission of carbapenem resistance via mobile genetic elements remains a main reason for concern (2). The most important mechanism for carbapenem resistance is the production of carbapenemases. Indeed, the *Klebsiella pneumoniae* carbapenemase (KPC) constitutes the most common class A beta-lactamase enzyme. KPC circulates worldwide and, along with New Delhi metallo- β -lactamase (NDM class B), Verona integron-encoded metallo- β -lactamase (VIM class B), imipenemase metallo- β -lactamases (IMP class B), and oxacillinase-48 (OXA-48 class D), is the most common carbapenemase identified worldwide (3, 4).

Since the first case of KPC identified in a *K. pneumoniae* clinical isolate from North Carolina in 1996 (5), international spread has occurred, and multiple outbreaks have been reported worldwide. In particular, a single clonal group (CG), designated CG258, has been responsible for the majority of infections, with two principal sequence types (STs) ST258 and ST512 (a single locus variant of ST258) representing the majority of *bla*_{KPC}-containing *K. pneumoniae*. CG258 is responsible for >80% of outbreak isolates in the United States and about 90% of infections in Israel (6–9). The allelic variants *bla*_{KPC-2} and *bla*_{KPC-3} are the predominant genes associated with *K. pneumoniae* in Europe (Greece and Italy), Middle East (Israel), South America (Colombia and Argentina), and North America (especially the United States) (6–13). The *bla*_{KPC} gene is often located on the mobile transposon Tn4401 and also on diverse plasmids, including broad-host-range plasmids of the incompatibility groups IncA/C, IncL/M, and IncN (14). Outbreaks of KPC-producing *Enterobacteriales* caused by transferable plasmids have also been reported, specifically, by “promiscuous” plasmids of incompatibility group N (IncN) that harbor the *bla*_{KPC-2} gene (15).

In South America, the first detection of *bla*_{KPC} on a conjugative plasmid was reported in Colombia (16), and since then, the country has become a region where strains producing carbapenemases are endemic (17). In Colombia, outbreaks of KPC-producing *K. pneumoniae* were initially attributed to strains belonging to clonal group CG258 (12, 13). Previous published data also suggested cocirculation of *K. pneumoniae* CG258 strains carrying *bla*_{KPC-3} and non-CG258 (from different STs) harboring *bla*_{KPC-2} (18, 19). However, a recent comprehensive genomic study indicated that the likely emergence of carbapenem resistance in Colombian hospitals was driven by the horizontal transfer of promiscuous plasmids harboring *bla*_{KPC-2} among Gram-negative bacteria instead of clonal dissemination of CG258 strains (19).

Here, we characterized the dynamics of transmission of *bla*_{KPC} genes among CRE infecting and colonizing patients in an area of endemicity in Colombia around the city of Medellín, encompassing more than 2 million inhabitants. We provide evidence that the major mechanism for the spread of carbapenemase resistance (*bla*_{KPC-2}) is the horizontal gene transfer (HGT) mediated by highly transferable plasmids, leading to a high endemicity of carbapenem resistance among *Enterobacteriales*.

RESULTS

Infection/colonization by KPC-producing *Enterobacteriales* in neonates and adults. Between July 2013 and August 2015, 185 CRE clinical isolates of infected and colonized patients were collected from three hospitals serving the Medellín metropolitan area and adjacent communities (Central area of Colombia). Among the recovered organisms, 131 (70.8%) were positive for *bla*_{KPC} (based on PCR), with 125 (95.4%) and 6 (4.6%) isolates carrying *bla*_{KPC-2} and *bla*_{KPC-3}, respectively. These isolates were obtained from a group of 110 patients, encompassing 58 (53%) adults, 51 (46%) newborns, and one infant patient (1%) (see Data Set S1 in the supplemental material).

Among adult patients, 27 (47%) were colonized and 31 (43%) were considered infected. A total of 6 infected patients were previously colonized by KPC-producing *Enterobacteriales*. More than half of the patients were males (56.9%, $n = 33$) and the majority were older (median age of 61 years; interquartile range [IQR] = 48 to 79 and 70 years; IQR = 56 to 81 years in colonized and infected patients, respectively). In the infected patients, KPC-producing *Enterobacteriales* were most frequently isolated from urine and respiratory samples (32.3 and 25.8%, respectively). Overall, patients exhibited

several underlying conditions, among which diabetes (34.5%), chronic obstructive pulmonary disease (20.7%), and chronic kidney disease (20.7%) were the most common. A total of 14 (24.1%) patients had previous antibiotic exposure. The most frequent antibiotics were cephalosporins (13.8%), beta-lactam/beta-lactamase inhibitor combination and carbapenems (10.3% for each) (see Table S1 in the supplemental material).

Among newborns, 46 (90.2%) were colonized, and 5 (9.8%) were infected by KPC-producing *Enterobacteriales*. In colonized newborns, the mean length of hospital stay before sampling was 12 days (IQR = 5 to 30 days). A total of 26.1% ($n = 12$) were premature, and 36.9% ($n = 17$) had been previously exposed to antibiotics, mainly to aminoglycosides (26.1%), aminopenicillins (26.1%), and cephalosporins (23.9%). Several patients were colonized by up to 2 ($n = 7$) or 3 ($n = 1$) different species of *Enterobacteriales* (see Table S2).

A total of 48 neonates (45 colonized and 3 infected) were involved in an outbreak that occurred during the study period in the neonatal intensive care unit (NICU) in hospital 1. Indeed, on 7 March 2014, 11 cases of CRE colonization in newborns were detected in the weekly surveillance cultures. Overall, 82 and 7 newborns, respectively, were colonized and infected by CRE and linked to the outbreak, which was declared over on 30 December 2014. Subsequently, five sporadic cases of colonization by carbapenem-resistant *Enterobacter cloacae* were detected between January and August 2015.

Non-CG258 *K. pneumoniae* in the outbreak setting shared an IncN plasmid. Of the 57 isolates previously identified as carbapenem-resistant *K. pneumoniae*, 51 (89.5%) and 6 (10.5%) were positive for *bla*_{KPC-2} and *bla*_{KPC-3}, respectively (see Data Set S1). Of these, 29 isolates were selected for WGS ($n = 23/bla_{KPC-2}$, $n = 6/bla_{KPC-3}$) based on initial characterization by rep-PCR/DiversiLab. The majority ($n = 25$) were identified as *K. pneumoniae*, 3 were identified as *Klebsiella quasipneumoniae*, and 1 was identified as *Klebsiella variicola*.

The KPC-2-producing *K. pneumoniae* isolates involved in the neonatal outbreak in hospital 1 (in 2014), exhibited a variety of genetic backgrounds that were not related to CG258. One main lineage was ST502 ($n = 10$) harboring capsular type *wzi* 50. Other isolates belonged to ST140 ($n = 2$), ST36 ($n = 1$), and ST101 ($n = 1$) exhibiting different capsular types (*wzi* 306, 394, and 29, respectively) and virulence factors. Despite the major differences in genetic backgrounds the isolates harbored a similar IncN plasmid replicon type and carried *bla*_{SHV}, *sul1*, *fosA*, and *aac(6')-Ib-cr* conferring, respectively, β -lactam, sulfonamide, fosfomycin, and aminoglycoside/quinolone resistance (Fig. 1 and Table 1). In addition, the *bla*_{KPC-2} gene was located on a novel Tn4401b transposon, which was flanked by a GATCT target site duplication and modified by the insertion of the Tn5403 element which was flanked by 34-bp inverted repeats into the ISKpn6 element (see Fig. S1 in the supplemental material).

We also detected *bla*_{KPC-2} in non-CG258 *K. pneumoniae* (ST15, -17, -25, -433, and -964; Fig. 1) from colonized and infected adults in the participating hospitals (2013 to 2015). Some isolates that belonged to ST15 and ST17 (C1-205 and C1-01) carried *bla*_{KPC-2} on the novel Tn4401b. Of note, we found similar resistance genes and plasmid replicons (IncN) to that found in isolates that had been involved in the NICU outbreak (Fig. 1 and Table 1). In particular, a *K. pneumoniae* ST433 (C1-201-2) isolate carried *bla*_{KPC-2} on a non-Tn4401 genetic element (NTE_{KPC}) of Tn3 elements- Δbla_{TEM} -*bla*_{KPC-2}- $\Delta Tn1721$, previously described (20). This isolate carried five plasmid replicons, including IncN and genes conferring resistance to several antibiotics, including sulfonamides, rifampin, trimethoprim, aminoglycosides, and quinolones (Table 1).

Furthermore, *K. variicola* (C1-76) and *K. quasipneumoniae* (C2-116) isolates recovered from colonized adults at hospital 1 and hospital 2, also carried the *bla*_{KPC-2} on the novel Tn4401b. We also identified the IncN plasmid replicon and resistance determinants similar to those isolates from the neonatal outbreak (Fig. 1 and Table 1). Overall, our results suggest that the spread of *bla*_{KPC-2} across different clones of *K. pneumoniae* non-CG258, *K. variicola*, and *K. quasipneumoniae* strains was due to the same IncN type

TABLE 1 Molecular characteristics of 72 KPC-producing *Enterobacteriales* isolates sequenced by Illumina platform

Species	ST ^a	Hospital	No. of isolates	Patient type	Variant of <i>bla</i> _{KPC-2}	Transposon isoform ^b	Additional resistance genes	Plasmid replicon type ^c	
<i>Klebsiella</i> spp. <i>K. pneumoniae</i>	ST502	1	10	Neonate	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{SHV-62} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>fosA</i>	IncN , IncR	
	ST140	1	2	Neonate	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{SHV-1} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>str(A,B)</i> , <i>oqx(A,B)</i> , <i>fosA</i>	IncN , IncFIA, IncFII	
	ST101	1	1	Neonate	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{SHV-1} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>aph(3'')-Ib</i> , <i>aph(6')-Id</i> , <i>str(A,B)</i> , <i>oqx(A,B)</i> , <i>catA1</i> , <i>tet(D)</i> , <i>fosA</i>	IncN , IncFIB, IncFIB(K), IncFII, IncFII(K)	
	ST36	1	1	Neonate	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{SHV-11} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>str(A,B)</i> , <i>oqx(A,B)</i>	IncN , IncR, IncFIB, IncFII	
	ST17	1	1	Adult	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{SHV-11} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>oqx(A,B)</i> , <i>tet(D)</i> , <i>fosA</i>	IncN , IncFIB(K), IncFII	
			2	1	Adult	<i>bla</i> _{KPC-3}	Tn4401a	<i>bla</i> _{SHV-11} , <i>sul1</i> , <i>sul2</i> , <i>aadA2</i> , <i>oqx(A,B)</i> , <i>erm(B)</i> , <i>cmlA1</i> , <i>tet(B,D)</i> , <i>fosA</i> , <i>dfrA15</i>	IncFIB(K), IncFIB(pQIL), IncFII, IncFII(K)
			3	1	Adult	<i>bla</i> _{KPC-3}	ND	<i>bla</i> _{SHV-11} , <i>sul1</i> , <i>oqx(A,B)</i> , <i>catA1</i> , <i>tetD</i> , <i>fosA</i>	IncHI1B, IncFIB
	ST15	1	1	Adult	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>aadA1</i> , <i>oqx(A,B)</i> , <i>qnrB19</i> , <i>mph(A)</i> , <i>tet(A)</i> , <i>fos(A)</i>	IncN , ColpVC, IncFIB(K), IncFII(K), IncI1	
	ST433	1	1	Adult	<i>bla</i> _{KPC-2}	Non-Tn4401	<i>bla</i> _{SHV-11} , <i>sul(1,2)</i> , <i>aac(6')-Ib-cr</i> , <i>aadA16</i> , <i>oqx(A,B)</i> , <i>qnrB6</i> , <i>fosA5</i> , <i>arr3</i> , <i>dfrA27</i>	IncN , ColRNAI, IncFIB(K), IncFII(K), IncX3	
	ST964	1	1	Adult	<i>bla</i> _{KPC-2}	Tn4401b	<i>bla</i> _{SHV-1} , <i>aac(3'')-IIa</i> , <i>aph(3'')-Ib</i> , <i>aph(6')-Id</i> , <i>oqx(A,B)</i> , <i>qnrB19</i> , <i>fosA</i>	IncFIA, IncFIB(K), IncFII(K)	
	ST25	1	1	Adult	<i>bla</i> _{KPC-2}	Tn4401b	<i>bla</i> _{SHV-1} , <i>oqx(A,B)</i> , <i>fosA</i>	ND	
	ST258	1	1	Adult	<i>bla</i> _{KPC-3}	Tn4401b	<i>bla</i> _{SHV-2B} , <i>sul(1,3)</i> , <i>aac(3)-IVa</i> , <i>aac(6')-Ib</i> , <i>aph(4)-Ia</i> , <i>aad(A2,A24)</i> , <i>oqx(A,B)</i> , <i>catA1</i> , <i>cmlA1</i> , <i>fosA</i> , <i>dfrA12</i>	ColRNAI, IncR, IncFIB(K), IncFII(K), IncI2	
	ST512	1	3	Adult	<i>bla</i> _{KPC-3}	Tn4401a	<i>bla</i> _{SHV-11} , <i>sul1</i> , <i>aac(6')-Ib</i> , <i>aph(3)-Ia</i> , <i>aadA2</i> , <i>oqx(A,B)</i> , <i>catA1</i> , <i>fosA</i> , <i>dfrA12</i>	ColRNAI, IncFIB(K), IncFIB(pQIL), IncFII(K), IncX3	
	<i>K. variicola</i>		1	1	Adult	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{LEN25r} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>oqx(A,B)</i>	IncN , IncFIB(K), IncFII(K)
<i>K. quasipneumoniae</i>		1	1	Adult	<i>bla</i> _{KPC-2}	Tn4401b	<i>bla</i> _{OKP-A-5r} , <i>sul1</i> , <i>oqx(A,B)</i> , <i>fosA</i>	IncR, IncFII(K)	
		2	1	Adult	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{OKP-A-5r} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>oqx(A,B)</i> , <i>fosA</i>	IncN , IncR, IncFII(K)	
		2	1	Adult	<i>bla</i> _{KPC-2}	Tn4401b	<i>bla</i> _{OKP-B-3r} , <i>sul2</i> , <i>rmtG</i> , <i>oqx(A,B)</i> , <i>qnrB19</i> , <i>fosA</i>	IncL/M(pMU407), IncHI1B, IncFIB, IncFII	
<i>E. coli</i>	ST349	1	4	Neonate	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{OXA-1} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>aadA1</i> , <i>catA1</i> , <i>tet(B)</i>	IncN , IncFIB	
	ST131	1	2	Adult	<i>bla</i> _{KPC-2}	Novel Tn4401b	<i>bla</i> _{SHV-5r} , <i>sul1</i> , <i>sul3</i> , <i>aac(3)-IV</i> , <i>aac(6')-Ib-cr</i> , <i>aph(4)-Ia</i> , <i>aad(A1,A2)</i> , <i>cmlA1</i>	IncN , IncHI2, IncHI2A, IncFIB, IncFII, IncI1	
			1	1	Adult	<i>bla</i> _{KPC-2}	Non-Tn4401	<i>sul(1,2)</i> , <i>aac(3)-IId</i> , <i>aph(3'')-Ib</i> , <i>aph(3)-IId</i> , <i>aadA16</i> , <i>qnrB6</i> , <i>tet(A)</i> , <i>arr3</i> , <i>dfrA12</i> , <i>dfrA27</i>	IncN , IncFIA, IncFIB, IncFII
	ST1236	1	1	Adult	<i>bla</i> _{KPC-2}	Non-Tn4401	<i>bla</i> _{TEM-1A}	ColRNAI, IncY	
	ST405	2	1	Adult	<i>bla</i> _{KPC-2}	ND	<i>bla</i> _{CMY-2r} , <i>sul(1,2)</i> , <i>aac(3)-IId</i> , <i>aadA5</i> , <i>aph(3'')-Ib</i> , <i>aph(6')-Id</i> , <i>str(A,B)</i> , <i>mph(A)</i> , <i>tet(A)</i> , <i>dfrA17</i>	IncFIB, IncFII, IncI1	
	ST8217	2	1	Adult	<i>bla</i> _{KPC-2}	Tn4401 b	<i>bla</i> _{CTX-M-12r} , <i>sul(1,2)</i> , <i>aadA1</i> , <i>rmtG</i> , <i>qnrB19</i> , <i>mdf(A)</i>	IncL/M(pMU407), IncHI1B, IncFIB, IncFII, IncI1	
	ST127	2	1	Adult	<i>bla</i> _{KPC-2}	Tn4401b	<i>aac(3'')-IIa</i> , <i>str(A,B)</i> , <i>qnrB19</i>	IncN2, IncFII	
	ST101	3	1	Adult	<i>bla</i> _{KPC-2}	Tn4401b	<i>sul(2,3)</i> , <i>aad(A1,A2)</i> , <i>str(A,B)</i> , <i>qnrB19</i> , <i>cmlA1</i> , <i>flor</i> , <i>tet(A)</i> , <i>tet(M)</i> , <i>dfrA12</i>	IncL/M(pMU407), IncN2, IncFIB, IncX1	
<i>Enterobacter cloacae</i> complex <i>E. hormaechei</i>	ST1396*	1	1	Neonate	<i>bla</i> _{KPC-2}	Non-Tn4401	<i>bla</i> _{ACT-7r} , <i>sul1</i> , <i>aac(6')-Ib-cr</i> , <i>aph(3'')-Ib</i> , <i>aadA16</i> , <i>qnrB6</i> , <i>fosA</i> , <i>arr-3</i> , <i>dfrA27</i>	IncN , IncFIB(K)	
	ST116	1	4	Neonate	<i>bla</i> _{KPC-2}	ND	<i>bla</i> _{ACT-7r} , <i>sul2</i> , <i>aph(3'')-Ib</i> , <i>aph(6)-Id</i> , <i>str(A,B)</i> , <i>tet(D)</i> , <i>fos(A)</i> , <i>dfrA14</i>	IncFIB, IncFII	
	ST66	1	2	Neonate	<i>bla</i> _{KPC-2}	ND	<i>bla</i> _{ACT-7r} , <i>bla</i> _{ACT-16r} , <i>fosA</i> , <i>aph(6')-Id</i>	IncFIB, IncFII	
	ST141	1	1	Neonate	<i>bla</i> _{KPC-2}	ND	<i>bla</i> _{ACT-7}	IncFIB(K), IncFII	
	ST510	1	2	Neonate	<i>bla</i> _{KPC-2}	Tn4401b	<i>bla</i> _{ACT-7r} , <i>sul(1,2)</i> , <i>aac(3)-IId</i> , <i>aadA2</i> , <i>mph(A)</i> , <i>tet(D)</i> , <i>fosA</i> , <i>dfrA12</i>	IncFII, IncL/M, IncX5	
	ST200	2	1	Adult	<i>bla</i> _{KPC-2}	Tn4401b	<i>bla</i> _{ACT-16r} , <i>bla</i> _{CTX-M12r} , <i>sul(1,2)</i> , <i>aac(3)-IId</i> , <i>aac(6')-Ia</i> , <i>aph(3'')-Ib</i> , <i>aph(6')-Id</i> , <i>aadA2</i> , <i>qnrE1</i> , <i>mph(A)</i> , <i>catA2</i> , <i>tet(D)</i> , <i>fosA</i> , <i>dfrA12</i> , <i>mcr-9</i>	IncN2, IncHI2, IncHI2A, IncFIB, IncFII, IncX5	

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The *bla*_{KPC-2} gene was located on the Tn4401b isoform in 25/43 isolates sequenced. The remaining isolates carried the novel Tn4401b, including six *E. coli* isolates (ST349 [*n* = 4] and ST131 [*n* = 2]) (Fig. 1). The non-Tn4401 element (NTE_{KPC}) found in *K. pneumoniae* ST433 (see above) was also detected in two *E. coli* isolates (ST131 and ST1236). In *E. hormaechei* ST1396 and *E. asburiae* ST252, NTE_{KPC} was identified with an insertion of Tn5403 into the *tnpA*(Tn3). Of note, these isolates shared the IncN plasmid replicon (Table 1). In *E. coli* ST405 (*n* = 1) and *E. hormaechei* ST116 (*n* = 4), ST66 (*n* = 2), and ST141 (*n* = 1), *bla*_{KPC-2} was not detected in the genome, suggesting the loss of the plasmid or *bla*_{KPC} gene during storage or subculture processing prior to sequencing.

Taken together, our results support interspecies dissemination of *bla*_{KPC-2} among *Enterobacteriales* driven by promiscuous plasmids, predominantly of the incompatibility N group (IncN) carrying a novel Tn4401b structure and non-Tn4401 elements (NTE_{KPC}).

Characterization of IncN plasmids involved in dissemination of *bla*_{KPC-2}. We evaluated the transferability of *bla*_{KPC-2} by mating assays, selecting one *K. pneumoniae* ST15 (C1-205) isolate carrying the *bla*_{KPC-2} on the novel Tn4401b and IncN plasmid replicon type as donor. We readily transferred *bla*_{KPC-2} into *E. coli* J53 with a conjugation efficiency of 1×10^{-2} per donor cells. We selected the transconjugant (*E. coli* Tc_C1-205) and other *Enterobacteriales* (C1-70, C1-83, C1-93, C1-94, C1-143, C1-134, C1-205, and C2-116) to characterize the genetic location of *bla*_{KPC-2}. Our results indicated that all isolates had *bla*_{KPC-2} located on an ~56-Kb plasmid (see Fig. S5).

To reconstruct the complete plasmid sequence, we selected one *K. pneumoniae* ST502 isolate (C1-94) and sequenced the genome using the MinION platform (long-read sequencing). The 56,321-bp plasmid was designated pIncN_C1-94_KPC and determined to be ST15 at the pMLST (Fig. 2a). We confirmed that the plasmid carried the novel Tn4401b associated with a class 1 integron and other resistance genes [*sul1*, *qacEDelta1*, *aac(6')Ib-cr*]. Subsequently, we used this plasmid sequence to determine its presence in each isolate using the Illumina data (short read). We identified the plasmid in the transconjugant strain (*E. coli* Tc_C1-205) and 24 *Enterobacteriales* isolates (Fig. 2a). Our findings support the notion that pIncN_C1-94-KPC mediated the initial neonatal outbreak, spreading to other units within the same hospital, and was circulating simultaneously in other regional hospitals into different *Enterobacteriales*.

We also detected a second IncN plasmid in some isolates that carried the *bla*_{KPC-2} on non-Tn4401 elements (NTE_{KPC}) (C1-169-1, C1-201-2, C1-186, and C1-174). This plasmid was similar to a completely sequenced pEC881_KPC plasmid (accession number CP019026.1) from an *E. coli* strain recovered in Cali, Colombia, in 2013 (20) (Fig. 2b). Likewise, we identified the backbone of this plasmid in *Citrobacter portucalensis* (C1-154) and *C. freundii* ST215 (C1-185) isolates recovered from adults at hospital 1 (Fig. 2b).

DISCUSSION

In this study, we characterized the dynamics of dissemination of *bla*_{KPC-2} in different genera and species of *Enterobacteriales* in hospitals serving an area of endemicity in Colombia with more than 2 million inhabitants. We show that broad dissemination of *bla*_{KPC} is facilitated by intra- and interspecies HGT mediated by promiscuous plasmids associated with transposable elements. This mechanism of spread led initially to a multispecies outbreak of KPC-2-producing *Enterobacteriales* in a neonatal care unit. Subsequently, the *bla*_{KPC-2}-carrying plasmids were detected in *Enterobacteriales* recovered from adult units in the same hospital and nearby hospitals over 3 years. The gene "epidemic" was driven by IncN-pST15-type plasmids carrying a novel Tn4401b structure and non-Tn4401 elements (NTE_{KPC}).

Previous studies (18, 19) had suggested that dissemination of *bla*_{KPC-2} among *K. pneumoniae* non-CG258 in Colombia was mainly due to HGT, as well as its dissemination in other *Enterobacteriales* (25, 26). Our study, using an extended and comprehensive evaluation of *Enterobacteriales* recovered from colonized and infected patients in a high-endemicity area of Colombia, supports that concept and shows the efficient and expanding spread of carbapenem resistance, occurring mainly from hospitalized patients with the possibility of "spillover" to the community maintaining high circulation

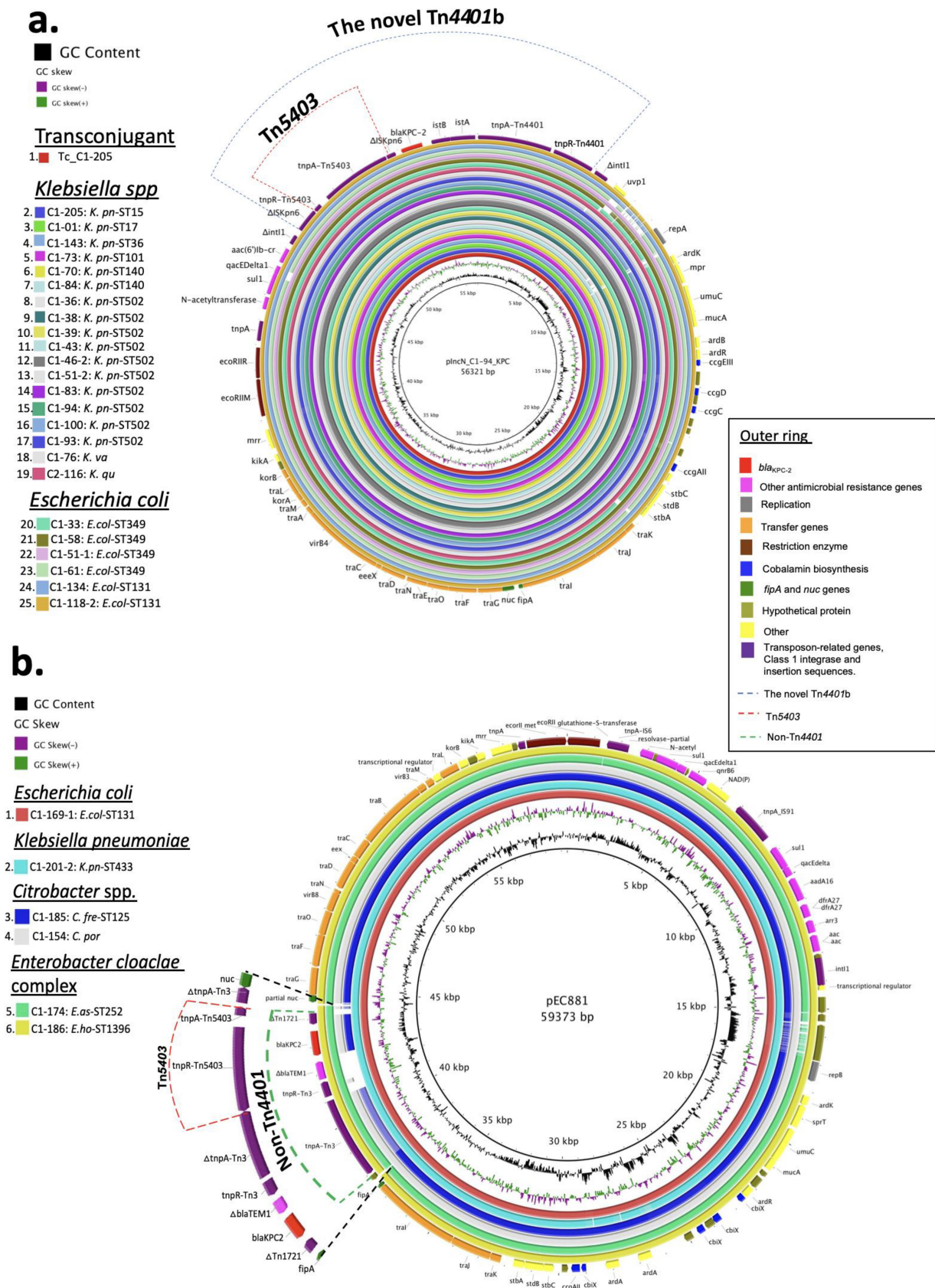


FIG 2 (a) BLAST Ring Image Generator (BRIG 0.95 and BLASTN v2.2.29) alignment of 24 sequenced *bla*_{KPC-2}-carrying *Enterobacteriales* and the Tc_C1-205 transconjugant harboring the annotated *plncN_C1-94_KPC* (accession number “contig_241_1” within WGS genome SAMN07291374; (Continued on next page)

of these genes. Consistent with previous observations, *K. pneumoniae* isolates belonging to CG258 and carrying *bla*_{KPC-3} also circulated simultaneously with other genetic backgrounds (mostly harboring *bla*_{KPC-2}). This phenomenon has created a “perfect storm” for dissemination of carbapenem resistance in Colombia (19).

Interestingly, multiple species of KPC-2-producing *Enterobacteriales* ($n = 24$) were recovered in the NICU outbreak and adult units from hospital 1 and, subsequently, in nearby hospitals. The isolates exhibited heterogeneous genetic backgrounds but had in common the location of *bla*_{KPC-2} gene within a novel Tn4401b element which harbors an insertion of Tn5403 transposon into the *ISKpn6* (Fig. 1 and see Fig. S1). The Tn5403 element was previously identified as a “helper” element that participated in the transfer of nonconjugative plasmids, found in isolates of *K. pneumoniae* recovered from polluted aquatic environments (27). It was also found in isolates of *Raoultella ornithinolytica*, a microorganism widely distributed in aquatic environments, insects, and fish (28). Of note, Tn5403 only contains genes related to transpositions function and is known to transpose by replicative transposition. The element seems to play as “disruptive” or “reorganizing” force via transposition within plasmids from clinical isolates (29). Thus, it is tempting to speculate that this novel Tn4401b structure could have originated through the introduction of Tn5403 element mediated by homologous recombination between environmental and human-adapted hospital isolates.

Our analysis of short- and long-read whole-genome sequencing revealed that the novel Tn4401b element was harbored within the *plncN_C1-94_KPC* plasmid, which was identified in the 24 *Enterobacteriales* isolates described above (Fig. 2a), showing a high conjugation efficiency, as has been previously described in IncN-type plasmids (30–32). An additional non-Tn4401 element “carrier” of *bla*_{KPC-2} (NTE_{KPC}) was also identified in different species of *Enterobacteriales* from neonates and adults (Table 1), harbored in a plasmid similar to the IncN pEC881_KPC reported previously (20) (Fig. 2b). Thus, these two IncN plasmids played a key role in the transmission interspecies of *bla*_{KPC-2} in some of the participating hospitals. Presumably, transposition of Tn5403 occurred and facilitated plasmid rearrangements (29).

Our findings are in agreement with previous studies (15, 32–37) by showing that the interspecies spread of *bla*_{KPC-2} through plasmids adds an additional layer of complexity to the molecular investigation of multispecies outbreaks and strongly suggest conjugation capacity and high plasticity of IncN ST15 plasmids in the gastrointestinal environment within a patient. Thus, the data in the study indicated that dissemination of the *bla*_{KPC-2} gene started in July 2013 in a *K. pneumoniae* ST17 isolate from an infected adult patient localized in non-ICU in hospital 1 that carried the *bla*_{KPC-2} within the novel Tn4401b into *plncN_C1-94_KPC* plasmid. This plasmid seems to be the source of the subsequent outbreaks in the neonatal unit.

Figure 3 attempts to reconstruct the events of dissemination of *bla*_{KPC-2} of the outbreak. Our data suggest that, in the beginning of the outbreak (March 2014), the clonal dissemination of *bla*_{KPC-2} by non-CG258 lineage of *K. pneumoniae* ST502 occurred, followed by emergence of KPC-2-producing *E. coli* ST349 by acquisition of the *plncN_C1-94_KPC* plasmid (Fig. 2a) and subsequent spread to other species of *Enterobacteriales* (March to December 2014). In parallel, an *E. coli* ST131 recovered from an adult patient in non-ICU setting carried the *bla*_{KPC-2} on NTE_{KPC} within a plasmid highly similar to pEC881_KPC (Fig. 2b). After the outbreak was controlled (2015), we detected sporadic cases in neonates colonized by *E. asburiae* ST252 (C1-174) and *E. hormaechei* ST1396 (C1-186) carrying *bla*_{KPC-2} in the same plasmid pEC881_KPC, with some varia-

FIG 2 Legend (Continued)

inside ring). Each isolate and the Tc_C1-205 transconjugant are indicated by a ring of different color (left panel). The outer ring shows resistance genes, as well as the structural genes of *plncN_C1-94_KPC* indicated by different colors (right panel). The novel transposon Tn4401 (blue dotted line) harboring an insertion of Tn5403 (red dotted line) into *ISKpn6* is also shown. (b) BLAST Ring Image Generator (BRIG 0.95 and BLASTN v2.2.29) of six sequenced *bla*_{KPC-2}-carrying *Enterobacteriales* isolates using plasmid pEC881 as reference (accession number CP019026.1) from an *E. coli* recovered in Cali, Colombia, 2013 (inside ring). Each isolate is indicated by a ring of different color (left panel). The outer ring shows the resistance and structural genes indicated by different colors (right panel). The NTE_{KPC} element (green dotted line) harboring an insertion of Tn5403 (red dotted line) into *tnpA*(Tn3) in C1-174 and C1-186 isolates is also shown.

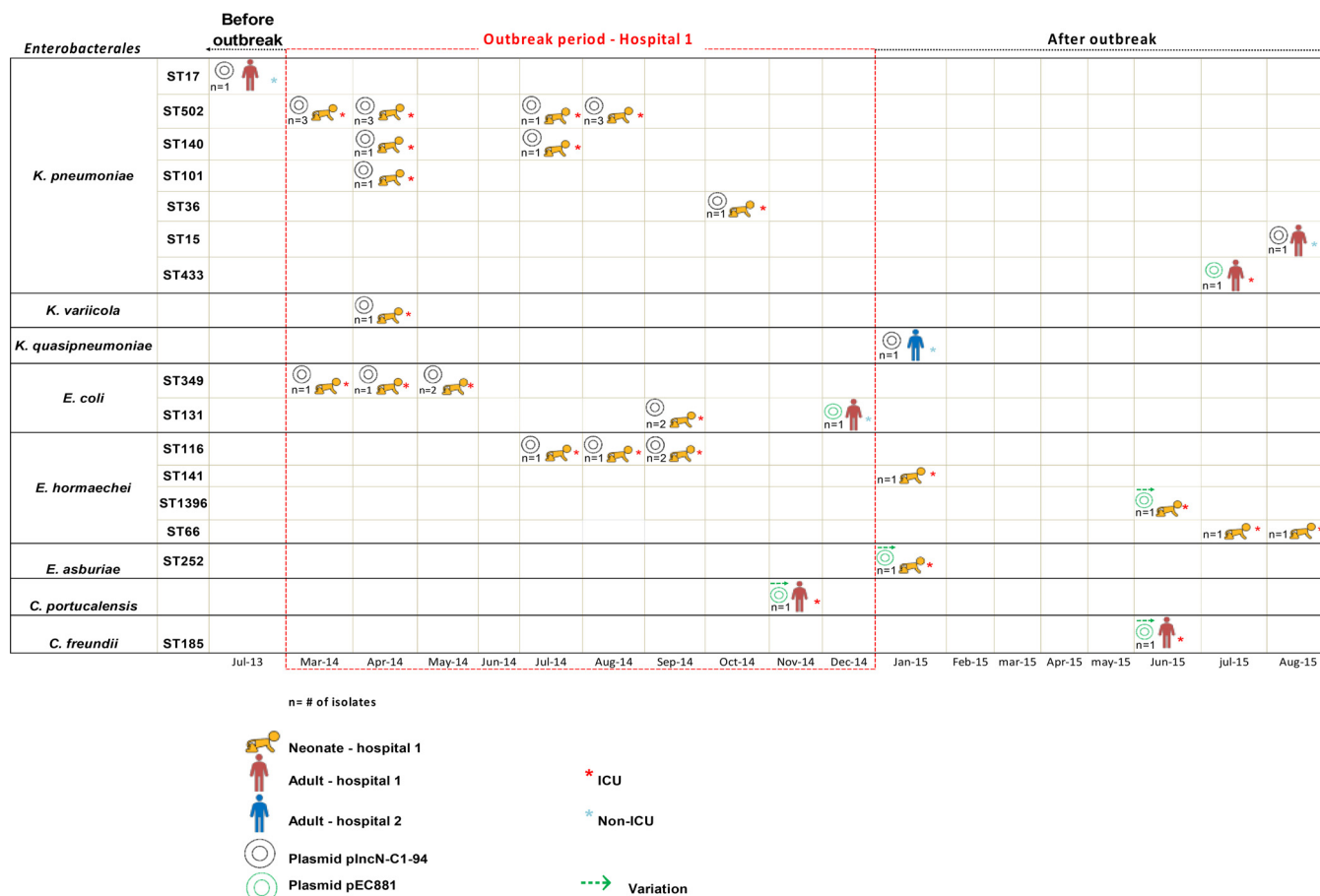


FIG 3 Timeline of the outbreak and dissemination of *bla*_{KPC2} among different *Enterobacteriales* in hospitals located in Medellin and surrounding areas (2013 to 2015). The neonates are in orange, adult from hospital 1 in brown and adult from hospital 2 in dark blue. The asterisk indicates the place of stay in ICU (red) and non-ICU (light blue) at the time of sampling. The type of plasmid identified in the isolates are indicated with black and green ring. Those that exhibited variations within mobile elements are indicated with a green arrow pointing to the right.

tions in the region containing the *bla*_{KPC2}. Also, in the adult unit *C. freundii* ST215 (C1-185) and *C. portucalensis* (C1-154) were identified carrying the backbone of pEC881_KPC, albeit carrying a Tn4401b (Fig. 2b), suggesting gene rearrangement likely through transposition and homologous recombination. Likewise, one isolate of *K. quasipneumoniae* (C2-116) recovered from an adult patient in hospital 2 (January 2015), harbored the pIncN_C1-94_KPC plasmid.

Another important finding in our study is the identification of a group of closely related *E. cloacae* ST456 isolates (*n* = 4) carrying *bla*_{KPC-2} on Tn4401b (Fig. 1), a finding only previously reported in Norway (38). Of note, *E. kobei* ST32 and *E. hormaechei* ST200 isolates recovered from infected and colonized adult patients carried the *mcr-9* gene within a genetic environment similar to a previous isolate described in USA (Fig. S2). The *mcr-9* was described initially in *Salmonella enterica* and *E. coli* (23, 39), and later in *Enterobacter* spp. and *Klebsiella pneumoniae* and has been associated with carbapenemase-encoding genes (*bla*_{NDM-1}, *bla*_{VIM-1}, *bla*_{VIM-4} and *bla*_{OXA-48}) in the United States, China, and European countries (24, 40, 41). In South America, it has recently been described in *K. quasipneumoniae* associated with *bla*_{NDM-1} in Argentina (42). To our knowledge, this is the first report of *Enterobacter* spp. harboring *mcr-9* and *bla*_{KPC-2}. However, at the time of isolation, the organisms were susceptible to colistin therefore it is likely that the *mcr-9* gene was not expressed, a finding consistent with previous reports (43). Of note, *mcr-9* had not been previously reported in Colombia.

Some limitations of the study include the limited number of hospitals in the region and that we were not able to resolve all plasmid sequences by long-read sequencing.

Seven isolates of *E. hormaechei* involved in the NICU outbreak could have lost the *bla*_{KPC} gene or the plasmid that contained it during storage or subculture processing prior to sequencing. Therefore, it was not possible to define the genetic environment of *bla*_{KPC} gene in those isolates. However, we used the analysis of those genomes in the study to describe aspects related to the outbreak in NICU from hospital 1.

In conclusion, the KPC carbapenemase epidemic in an area of high endemicity in Colombia is driven by the horizontal transfer of promiscuous plasmids harboring *bla*_{KPC-2} among members of the *Enterobacterales*. This phenomenon has resulted in the occurrence of multispecies outbreak and high-level of genetic diversity of KPC-producing *Enterobacterales*. Our findings provided evidence of an epidemic of plasmid carrying *bla*_{KPC} rather than clonal expansion of successful genetic lineage. Interrupting plasmid transmission is a challenge for public health interventions in developing countries.

MATERIALS AND METHODS

Strain selection and clinical and epidemiological characterization. We conducted a prospective surveillance study of CRE in three tertiary-care hospitals in Colombia between 2013 and 2015. Hospital 1 is located in Medellín and, hospitals 2 and 3 are located in surrounding areas (202, 143, and 146 beds, respectively). All hospitals have functional intensive care units (ICU) for adults and two of them have neonatal intensive care units (NICU).

We isolated *Enterobacterales* from infected or colonized patients. Colonization was defined as a CRE recovered from a surveillance rectal culture (only in two hospitals) or a clinical sample without associated symptoms or disease. Infection was defined by a compatible clinical syndrome with confirmation by the infectious disease services and/or infection control committee, retrospectively. Rectal swabs were cultured on a selective chromogenic medium (chromID CARBA; bioMérieux). The collected *Enterobacterales* exhibited nonsusceptibility to at least one carbapenem (imipenem, meropenem or ertapenem). Multiple CRE isolates from an individual patient, in the same or different specimens, were also included.

Surveillance rectal swabs were collected in the following patients: (i) individuals with previous stay in the ICU, (ii) patients who received broad-spectrum antibiotic treatment (piperacillin-tazobactam, cefepime, quinolones, or carbapenems) within 90 days before admission, (iii) immunosuppressed patients due to hematologic disease or cancer, (iv) patients who underwent kidney transplantation, (v) use of a long-term urinary catheter or surgical wound drainage, (vi) suspected infection at a different institution, and (vii) hemodialysis and peritoneal dialysis. Clinical and demographic data from colonized and infected patients (i.e., age, sex, colonization or infection by more than one CRE, ICU admission, antibiotic use, use of invasive medical devices, underlying diseases, and comorbidities) were collected from electronically medical records and recorded in a Microsoft Access Database. This study was approved by the Institutional Review Board (IRB) of each participating hospital.

Bacterial identification, antibiotic susceptibility testing, and detection of the *bla*_{KPC} gene. Identification and antimicrobial susceptibility of *Enterobacterales* were performed by using the automated Vitek-2 system (bioMérieux Marcy-l'Étoile, France). The antimicrobial agents tested included ertapenem, imipenem, meropenem, cefotaxime, ceftazidime, ceftriaxone, cefepime, gentamicin, amikacin, and ciprofloxacin. MICs results were interpreted following the Clinical and Laboratory Standards Institute (CLSI) breakpoints (44).

All isolates classified as carbapenem-resistant were tested by PCR for the presence of the *bla*_{KPC} gene using primers and assays previously described (5). *Klebsiella pneumoniae* ATCC BAA-1705 and *Klebsiella pneumoniae* ATCC BAA-1706 were used as positive and negative controls for the PCR assay, respectively. DNA sequencing was performed on PCR amplification products and the results were compared and aligned with reference sequence using the online BLAST database for detection of variants of the *bla*_{KPC} gene.

Whole-genome sequencing. A total of 72 isolates of CRE carrying *bla*_{KPC} were selected for whole-genome sequencing (WGS) based on initial characterization by rep-PCR/DiversiLab (bioMérieux Marcy-l'Étoile, France) and choosing representatives strains of major clonotypes. Genomic DNA was extracted with the GeneJET Genomic DNA purification kit (Thermo Fisher Scientific, Waltham, MA). DNA libraries were prepared using a NexteraXT DNA sample preparation kit and multiplexed with a NexteraXT index primer kit on the Illumina platform (Illumina, San Diego, CA). Genomic libraries were sequenced on a MiSeq sequencer to obtain 250-bp paired-end reads using kit v2 and v3 (Illumina). The readings were processed to eliminate low quality bases and contamination with sequences of adapters and later assembled by *de novo* assembly. Cleaning and assembly were carried out using CLC Genomics Workbench assembler, version 8.5. The genomes were annotated using the RAST server (<http://rast.nmpdr.org>).

In order to reconstruct a fully closed plasmid sequence from a *Klebsiella pneumoniae* isolate carrying the *bla*_{KPC-2} and isolated from hospital 1, we used the MinION (Oxford Nanopore Technologies, Oxford, UK) platform to obtain long-read sequences. Genomic DNA was extracted using the DNeasy blood and tissue kit (Qiagen), and MinION libraries were prepared using the SQK-LSK208 kit according to the manufacturer's protocol. We used both Illumina and MinION reads to fully generate the plasmid sequence. The BLAST Ring Image Generator (BRIG) (45) was used to align the assembled reads of the sequenced clinical isolates and the transconjugant Tc_C1-205 strain to the *bla*_{KPC-2} carrying *K. pneu-*

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Significant contributions to laboratory processing were made by E.D.C., N.O., D.P., and A.M.R. Collection and analysis of clinical data were done by C.A., C.C., and C.P. Short-read (Illumina) sequencing was performed by R.R., L.D., A.Q.D., and A.M.R. Long-read (MinION) sequencing was performed by B.M.H. Sequence data processing and analysis were performed by R.R., L.D., and A.M.R. A.M.R. and C.A.A. wrote the manuscript, which was reviewed by A.C., M.V.V., and E.R.

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